

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Endisch, et al.**

Group No.: **Not Yet Assigned**

Application No.: **10/680,026**

Examiner: **Not Yet Assigned**

Filed: **October 6, 2003**

For: **Colloidal Silica Composite Films for Premetal Dielectric Applications**

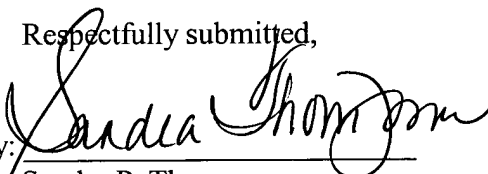
Mail Stop DD
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
WITHIN THREE MONTHS OF FILING OR
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. 1.97(b))**

**IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).

Respectfully submitted,

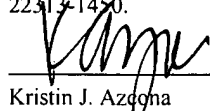
By: 
Sandra P. Thompson
Reg. No. 46264

Date: January 6, 2004

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CERTIFICATE OF MAILING (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.


Kristin J. Azcona

Date: January 6, 2004

Honeywell's Docket No. H0001840 DIV2 -4780

Practitioner's Docket No. 7210165002-3221000

**IN THE UNITED STATE PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231**

In re application of: **Endisch, et al.**

Serial No: **10/680,026**

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Group: **Not Yet Assigned**

Examiner: **Not Yet Assigned**

INFORMATION DISCLOSURE STATEMENT

Mail Stop DD
Commissioner of Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the United States Patent and Trademark Office of all references coming to the attention of the Applicant(s) or attorneys or agents for Applicant(s) which are or may be material to the examination of the subject application, attorneys for the Applicant(s) hereby invite the Examiner's attention to the references listed in the accompanying PTO Form 1449 entitled "List of References Cited".

This submission is understood to complement the results of the Examiner's own independent search. The submission of this Disclosure Statement should not be construed as a representation that a search was made, or that the cited items are inclusive of all relevant and material citations that may be available publicly.

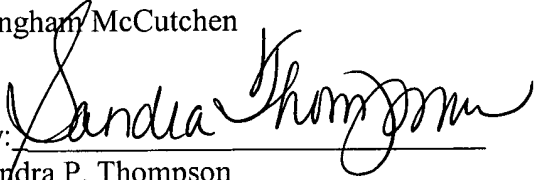
Honeywell's Docket No. H0001840 DIV2 -4780
Practitioner's Docket No. 7210165002-3221000

Applicant(s) respectfully request that the Examiner review the foregoing references, as set forth in the Form PTO-1449, and that they be made of record in the file history of the above-captioned application.

Respectfully submitted,

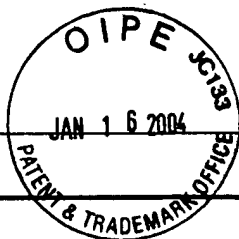
Bingham/McCutchen

Dated: January 6, 2004

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Fax: 714-830-0700

LIST OF REFERENCES CITED BY APPLICANT <i>(Use several sheets if necessary)</i>	ATTY. DOCKET NO.	SERIAL NO.
	7210165002-3221000	10/680026
	APPLICANT	
	Leung, et al.	
	FILING DATE	GROUP
	October 6, 2003	Not Yet Assigned



U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	3,658,584	04/25/72	Schmidt	117	201	09/21/70
	BB	3,663,277	05/16/72	Koepp, et al.	117	201	08/04/69
	CC	3,634,558	01/11/72	W. Stober	264	0.5	
	DD	5,548,159	08/20/96	Jeng	257	634	
	EE	5,858,871	01/12/99	Jeng	438	623	
	FF						
	GG						
	HH						
	II						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	JJ	WO 97/10282						
	KK	WO 00/41231						
	LL	EP 0 270 369						
	MM	EP 0 834 911						
	NN	EP 0 424 638						

OTHER REFERENCES *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	OO	PCT International Search Report dated January 18, 2002
		PCT International Preliminary Examination Report dated April 29, 2003
		A.K. Van Helden, et al. entitled "Preparation and Characterization of Spherical Monodisperse Silica Dispersions in Nonaqueous Solvents" Journal of Colloid and Interface Science, Vol. 81, No. 2, June 1981.

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Drawline through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.